ENCLOSURE 6.1

CANNOCK CHASE COUNCIL

AUDIT & GOVERNANCE COMMITTEE

27TH MARCH 2012

REPORT OF THE CHIEF INTERNAL AUDITOR

INTERNAL AUDIT - QUARTER 3 REPORT FOR 2011-12

1. Purpose of Report

1.1 To present to the Audit & Governance Committee for information the Internal Audit Quarter 3 Report for 2011-12

2. Recommendations

2.1	That the Committee notes the contents of the Internal Audit Report for Quarter 3 of 2011-12

3. Key Issues

3.1 Attached at Annex 1 is the Internal Audit Report for the third quarter of 2011-12.

3.2 Of the audits completed in the quarter, one has been given Limited assurance, one qualified assurance and three adequate assurance.

4. Legal Implications

4.1 The role of the Internal Audit Section provides an effective mechanism and tool to identify weaknesses within the control systems of the Council that could potentially present the Council with various legal difficulties. The Council is accountable for its actions and the resources expended in the provision of services. Accordingly, it is vital that any deficiencies that may exist in the organisation that may undermine the delivery, discharge and performance of the Council's duties and obligations are identified and remedial action taken.

4.2 Failure to carry out proper and extensive inspections of the Council's activities and procedures could expose the Council to legal challenge through litigation whether that be through civil actions or criminal prosecutions, should appropriate and necessary steps not be undertaken.

5. Financial Implications

5.1 There are no financial implications arising directly from this report

6. List of Background Papers

- Audit Plan File
- Audit Time Recording System
- Internal Audit Reports

7. Annexes to the Report

Annex 1 – Internal Audit Quarter 3 Report for 2011-12

8. Report Author Details

Stephen Baddeley, Chief Internal Auditor ext. 4415